

Questions To Ask When Your Advisor Recommends An Investment

Why are you recommending this investment?

What is the performance record of this investment?

What are the risks?

How does this meet my investment objectives?

Will it alter my asset allocation?

Yes

No

If yes, explain:

How will this help me reach my goals?

Are there other choices that would help me reach my goals?

Yes

No

If yes, explain:

What will it cost me now? What will it cost me in the future?

Are you receiving fees for the sale of this product?

Yes

No

How much will you be compensated?

When can I get my money out?

What if I need my money sooner or change my mind?
